7-11-00 PATENT P.Z.

Attorney Docket No. 53326.000008

Group Art Unit: To Be Assigned

Examiner: To Be Assigned

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In re Patent Application of:

Stephen W. Comiskey, et al

Serial No.: 09/520,763

Filed: March 8, 2000

For: SYSTEM AND METHOD FOR PROVIDING FINANCIAL SERVICES TO HIGH NET

WORTH INDIVIDUALS

INFORMATION DISCLOSURE STATEMENT

Assistant Commissioner for Patents Washington, D.C. 20231

Sir:

In accordance with 37 C.F.R. §§ 1.56, 1.97, and 1.98, Applicants respectfully submit the enclosed documents for the Examiner's consideration. A copy of Form PTO-1449 is also enclosed.

Applicants respectfully request that the Examiner considers the enclosed references and indicates that the references have been considered in this application by returning a copy of the Form PTO-1449 with the Examiner's initials in the left column per MPEP 609.

This Information Disclosure Statement is being submitted within three months of the filing of the application and before the mailing of a first Office Action. Accordingly, no fees are

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due. However, if any fees are incurred upon the filing of this Information Disclosure Statement, please charge the undersigned's Deposit Account No. 50-0206.

Respectfully submitted,

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Dated: 5/19/00